# Sampling and Quantization

- Summary on sampling
- Quantization
- Appendix: notes on convolution



# Nyquist theorem (1D)



At least 2 sample/period are needed to represent a periodic signal

$$T_{s} \leq \frac{1}{2} \frac{2\pi}{\omega_{\max}}$$
$$\omega = \frac{2\pi}{2} \geq 2\omega$$

# Delta pulse

- 1D Dirac pulse
  - $\delta(\mathbf{x}) = 1 \text{ if } \mathbf{x} = 0$
  - $\delta(\mathbf{x}) = 0$  else
- 2D Dirac pulse  $\begin{cases} \delta(x,y) = 1 \text{ if } x=0 \text{ and } y=0 \\ \delta(x,y) = 0 \text{ else} \end{cases}$ which corresponds to :  $\delta(x,y) = \delta(x) \delta(y)$



# Dirac brush

• 1D sampling: Dirac comb (or Shah function)



2D sampling : Dirac « brush »





# Brush

Brush = product of 2 extended combs

$$p_{x}(x,y) = \sum_{m=-\infty}^{\infty} \delta(x - m\Delta x)$$

$$p_{y}(x,y) = \sum_{m=-\infty}^{\infty} \delta(y - n\Delta y)$$

$$b(x,y) = p_{x}(x,y)p_{y}(x,y)$$

$$\overleftarrow{\delta x}$$

# Nyquist theorem

Sampling in p-dimensions

$$s_T(\vec{x}) = \sum_{k \in Z^p} \delta(\vec{x} - kT)$$
$$f_T(\vec{x}) = f(\vec{x}) s_T(\vec{x})$$



2D Fourier domain



Nyquist theorem



# **Spatial aliasing**



# Resampling

- Change of the sampling rate
  - Increase of sampling rate: Interpolation or upsampling
    - Blurring, low visual resolution
  - Decrease of sampling rate: Rate reduction or downsampling
    - Aliasing and/or loss of spatial details

# Downsampling

# Upsampling





# nearest neighbor (NN)

# Upsampling





### bilinear

# Upsampling





## bicubic

# Quantization

# Scalar quantization

- A scalar quantizer Q approximates X by X<sup>~</sup>=Q(X), which takes its values over a finite set.
- The quantization operation can be characterized by the MSE between the original and the quantized signals

 $d = E\{(X - \tilde{X})^2\}.$ 

- Suppose that X takes its values in [a, b], which may correspond to the whole real axis. We decompose [a, b] in K intervals {(y<sub>k-1</sub>, y<sub>k</sub>]}<sub>1≤k≤K</sub> of variable length, with y<sub>0=</sub>a and y<sub>K</sub>=b.
- A scalar quantizer approximates all  $x \in (y_{k-1}, y_k]$  by  $x_k$ :

$$\forall x \in (y_{k-1}, y_k], \quad Q(x) = x_k$$

# Quantization

• A/D conversion ⇒ quantization



# Scalar quantization

- The intervals  $(y_{k-1}, y_k]$  are called *quantization bins*.
- Rounding off integers is an example where the quantization bins

 $(y_{k-1}, y_k] = (k-1/2, k+1/2]$ 

have size 1 and  $x_k = k$  for any  $k \in \mathbb{Z}$ .

# High resolution quantization

- Let p(x) be the probability density of the random source X. The mean-square quantization error is

$$d = E\{(X - \tilde{X})^2\} = \int_{-\infty}^{+\infty} \left(x - Q(x)\right)^2 p(x) \, dx.$$

# HRQ - A quantizer is said to have a high resolution if p(x) is approximately constant on each quantization bin. This is the case if the sizes k are sufficiently small relative to the rate of variation of p(x), so that one can neglect these variations in each quantization bin. p(x) $\Delta p(x)$ HRQ: $\Delta p(x) \rightarrow 0$ $p(x) = \frac{p_k}{\Delta_k}$ for $x \in (y_{k-1}, y_k]$ , $p_k = \Pr\{X \in (y_{k-1}, y_k]\}.$

Х

0

# Scalar quantization

• Teorem 10.4 (Mallat): For a high-resolution quantizer, the mean-square error *d* is minimized when  $x_k = (y_k + y_{k+1})/2$ , which yields

$$d = \frac{1}{12} \sum_{k=1}^{K} p_k \Delta_k^2$$

**Proof.** The quantization error (10.15) can be rewritten as

$$d = \sum_{k=1}^{K} \int_{y_{k-1}}^{y_k} (x - x_k)^2 p(x) \, dx.$$

Replacing p(x) by its expression (10.16) gives

$$d = \sum_{k=1}^{K} \frac{p_k}{\Delta_k} \int_{y_{k-1}}^{y_k} (x - x_k)^2 \, dx.$$
 (10.18)

One can verify that each integral is minimum for  $x_k = (y_k + y_{k-1})/2$ , which yields (10.17).

# Uniform quantizer

The uniform quantizer is an important special case where all quantization bins have the same size

$$y_k - y_{k-1} = \Delta$$
 for  $1 \le k \le K$ .

For a high-resolution uniform quantizer, the average quadratic distortion (10.17) becomes

$$d = \frac{\Delta^2}{12} \sum_{k=1}^{K} p_k = \frac{\Delta^2}{12}.$$
 (10.19)

It is independent of the probability density p(x) of the source.



# Quantization

# Signal before (blue) and after quantization (red) Q



Equivalent noise:  $n=f_q-f$ additive noise model:  $f_q=f+n$ 



# Quantization

original





5 levels

50 levels

# **Distortion measure**

• Distortion measure

$$D = E\left[\left(f_{Q} - f\right)^{2}\right] = \sum_{k=0}^{K} \int_{t_{k}}^{t_{k+1}} (f_{Q} - f)^{2} p(f) df$$

 The distortion is measured as the expectation of the mean square error (MSE) difference between the original and quantized signals.

$$PSNR = 20 \log_{10} \frac{255}{MSE} = 20 \log_{10} \frac{255}{\frac{1}{N \times M} \sqrt{\sum_{i=1}^{N} \sum_{j=1}^{M} (I_1[i, j] - I_2[i, j])^2}}$$

- Lack of correlation with perceived image quality
  - Even though this is a very natural way for the quantification of the quantization artifacts, it is not representative of the *visual annoyance* due to the majority of common artifacts.
- Visual models are used to define perception-based image quality assessment metrics

# Example

- The PSNR does not allow to distinguish among different types of distortions leading to the same RMS error between images
- The MSE between images (b) and (c) is the same, so it is the PSNR. However, the visual annoyance of the artifacts is different



# Appendix

Convolution



# **2D** Convolution

$$c(x, y) = f(x, y) \otimes g(x, y) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(\tau, v) g(x - \tau, y - v) d\tau dv$$
$$c[i, k] = \sum_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f[n, m] g[i - n, k - m]$$



- Associativity
- Commutativity

 $n = -\infty$   $m = -\infty$ 

• Distributivity



# Convolution

Filtering with filter h(x,y)

$$f_2(x,y) = \int_{-\infty}^\infty \int_{-\infty}^\infty f_1(s,t)h(x-s,y-t)dsdt$$

- Convolution with a 2D Dirac pulse  $f_2(x, y) = f_1(x, y)$  sampling property of the delta function
- Convolution a Dirac pulse shifted by  $(x_0,y_0)$  $f_2(x, y) = f_1(x - x_0, y - y_0)$
- Fourier transform...
   F<sub>2</sub>(u, v) = F<sub>1</sub>(u, v) H(u, v)
- ... and vice versa

 $g(x,y) = f_1(x, y) f_2(x, y)$  then  $G(u,v) = F_1(u, v) * F_2(u, v)$ 

# Convolution

- Convolution is a *neighborhood operation* in which each output pixel is the *weighted sum* of neighboring input pixels. The matrix of weights is called the *convolution kernel*, also known as the *filter*.
  - A convolution kernel is a correlation kernel that has been rotated 180 degrees.
- Recipe
  - 1. Rotate the convolution kernel 180 degrees about its center element.
  - Slide the center element of the convolution kernel so that it lies on top of the (I,k) element of f.
  - 3. Multiply each weight in the rotated convolution kernel by the pixel of f underneath. Sum the individual products from step 3
  - zero-padding is generally used at borders but other border conditions are possible

# Example

f = [17 24 1 8 15 23 5 7 14 16 4 6 13 20 22 10 12 19 21 3 11 18 25 2 9]

kernel					
h = [8	1	6	h'= [2	9	4
3	5	7	7	5	3
4	9	2]	6	1	8]

 $1 \cdot 2 + 8 \cdot 9 + 15 \cdot 4 + 7 \cdot 7 + 14 \cdot 5 + 16 \cdot 3 + 13 \cdot 6 + 20 \cdot 1 + 22 \cdot 8 = 575$ 



Computing the (2,4) Output of Convolution

# Correlation

- The operation called correlation is closely related to convolution. In correlation, the value of an output pixel is also computed as a weighted sum of neighboring pixels.
- The difference is that the matrix of weights, in this case called the correlation kernel, *is not rotated* during the computation.
- Recipe
  - 1. Slide the center element of the correlation kernel so that lies on top of the (2,4) element of f.
  - 2. Multiply each weight in the correlation kernel by the pixel of A underneath.
  - 3. Sum the individual products from step 2.

# Example

f = [17 24 1 8 15 23 5 7 14 16 4 6 13 20 22 10 12 19 21 3 11 18 25 2 9]  $h = \begin{bmatrix} 8 & 1 & 6 \\ 3 & 5 & 7 \\ 4 & 9 & 2 \end{bmatrix}$ 

kernel

 $1 \cdot 8 + 8 \cdot 1 + 15 \cdot 6 + 7 \cdot 3 + 14 \cdot 5 + 16 \cdot 7 + 13 \cdot 4 + 20 \cdot 9 + 22 \cdot 2 = 585$ 



Computing the (2,4) Output of Correlation