





# Introduction to Operational Semantics

- An Imperative Language: **IMP**

- syntax

- semantics



# Syntactic Sets

- number  $N$
- truth values  $B$
- arithmetic expressions  $Aexp$
- boolean expression  $Bexp$
- commands  $Com$
- locations  $Loc$



# meta-variables

$n, m$  : range over  $N$

$X, Y$  : range over  $Loc$

$a$  : to represent an arbitrary element of  $Aexp$

$b$  : to represent an arbitrary element of  $Bexp$

$c$  : to represent an arbitrary element of  $Com$



# formation rules

## ● ***Aexp***

$a ::= n \mid X \mid a_0 + a_1 \mid a_0 - a_1 \mid a_0 \times a_1$

## ● ***Bexp***

$b ::= \text{true} \mid \text{false} \mid a_0 = a_1 \mid a_0 \leq a_1 \mid \neg b \mid b_0 \wedge b_1 \mid b_0 \vee b_1$

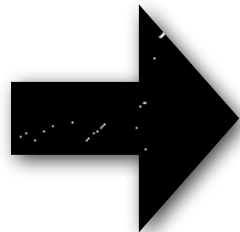
## ● ***Com***

$c ::= \text{skip} \mid c_1 ; c_2 \mid \text{if } b \text{ then } c_0 \text{ else } c_1 \mid X := a \mid \text{while } b \text{ do } c$



**Definition.** Let  $e_0$  and  $e_1$  be from the same syntactic set. We say that  $e_0$  and  $e_1$  are (syntactically) identical if  $e_0$  and  $e_1$  have been built-up in exactly the same way (i.e. the same parse tree).

**Notation.** we write  $e_0 \equiv e_1$  for  $e_0$  and  $e_1$  are identical



$$3 + 5 \neq 8$$

$$5 + 3 \neq 8$$



Let  $\mathbf{S}$  be the universe of derivable objects;  
let  $S, S_0, \dots, S_i, \dots$  be generic elements of  $\mathbf{S}$ .

**Rules:**

i. axioms (0-ary rules)  $\overline{S}^{ax}$  or simply  $S$

ii. k-ary rules 
$$\frac{S_0, \dots, S_{k-1}}{S} r$$



## Derivation trees

- If  $\overline{S}^{ax}$  is an axiom then  $S$  is a derivation tree

- if  $\frac{S_0, \dots, S_k}{S} r$  is a rule (instance) and

and  $\forall j \in [0, k] \quad \frac{\cdot}{S_j} D_j$  is a derivation tree then

$\frac{\frac{\cdot}{S_0} D_0 \quad \dots \quad \frac{\cdot}{S_k} D_k}{S} r$  is a derivation tree



Notation:

R-derivation: a derivation that use a set  $R$  of rules

$D$  is an R-derivation of  $S$

$$D \vdash_R S$$

$\vdash_R S$  means that there is a derivation  $D$  s.t.  $D \vdash_R S$

we will omit the subscript  $R$  when the set  $R$  of rule is understood

Book Notation

$$d \Vdash y \quad (d \text{ is an R-derivation of } y)$$



# Operational Semantics

- **evaluation** of arithmetic and boolean expression
- **execution** of commands
- **semantic sets**

**Z** : set of “*machine number*”

**$\Sigma$**  : set of “*states*”

$$\Sigma : \{\sigma \mid \sigma : \text{Loc} \rightarrow \mathbf{Z}\}$$

**C**: set of “*configurations*”

$$\mathbf{C} = \{\langle p, \sigma \rangle \mid p \in \text{Aexp}, \text{Bexp}, \text{Com}, \sigma \in \Sigma\}$$



*FINE 9 GENN*



# evaluation of **Aexp** elements

evaluation relation:  $\langle a, \sigma \rangle \rightarrow n \quad (n \in \mathbf{Z})$

*Numbers:*

$$\langle n, \sigma \rangle \rightarrow n$$

*locations:*

$$\langle X, \sigma \rangle \rightarrow \sigma(X)$$

*sums:*

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 + a_1, \sigma \rangle \rightarrow n_0 + n_1}$$

*subtractions:*

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 - a_1, \sigma \rangle \rightarrow n_0 - n_1}$$

*products:*

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 \times a_1, \sigma \rangle \rightarrow n_0 \times n_1}$$



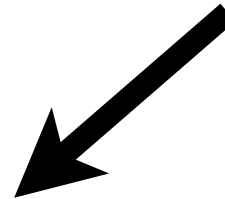
example:

$$a \equiv (\text{Int} + 5) + (7 + 9)$$

state  $\sigma_0$

$$\sigma_0(\text{Int}) = 0$$

derivation tree



$\frac{\overline{\langle \text{Int}, \sigma_0 \rangle \rightarrow 0} \quad \overline{\langle 5, \sigma_0 \rangle \rightarrow 5}}{\overline{\langle (\text{Int} + 5), \sigma_0 \rangle \rightarrow 5}}$	$\frac{\overline{\langle 7, \sigma_0 \rangle \rightarrow 7} \quad \overline{\langle 9, \sigma_0 \rangle \rightarrow 9}}{\overline{\langle (7 + 9), \sigma_0 \rangle \rightarrow 16}}$
$\langle (\text{Int} + 5) + (7 + 9), \sigma_0 \rangle \rightarrow 21$	



step 1 (tree construction)

$$\frac{\frac{\overline{\langle Int, \sigma_0 \rangle \rightarrow ?} \quad \overline{\langle 5, \sigma_0 \rangle \rightarrow ?}}{\overline{\langle (Int + 5), \sigma_0 \rangle \rightarrow ?}} \quad \frac{\overline{\langle 7, \sigma_0 \rangle \rightarrow ?} \quad \overline{\langle 9, \sigma_0 \rangle \rightarrow ?}}{\overline{\langle (7 + 9), \sigma_0 \rangle \rightarrow ?}}}{\overline{\langle (Int + 5) + (7 + 9), \sigma_0 \rangle \rightarrow ?}}$$



step 2 (replacement of all the “?”)

$$\frac{\frac{\overline{\langle Int, \sigma_0 \rangle \rightarrow ?} \quad \overline{\langle 5, \sigma_0 \rangle \rightarrow ?}}{\overline{\langle (Int + 5), \sigma_0 \rangle \rightarrow ?}} \quad \frac{\overline{\langle 7, \sigma_0 \rangle \rightarrow ?} \quad \overline{\langle 9, \sigma_0 \rangle \rightarrow ?}}{\overline{\langle (7 + 9), \sigma_0 \rangle \rightarrow ?}}}{\overline{\langle (Int + 5) + (7 + 9), \sigma_0 \rangle \rightarrow ?}}$$



an equivalence relation

$$a_0 \sim a_1 \text{ iff } (\forall n \in \mathbf{Z} \ \forall \sigma \in \Sigma . \langle a_0, \sigma \rangle \rightarrow n \Leftrightarrow \langle a_1, \sigma \rangle \rightarrow n)$$

... it is necessary to show that such a relation is:

1. reflexive
2. symmetric
3. transitive



# evaluation of **Bexp** elements

evaluation relation:  $\langle b, \sigma \rangle \rightarrow t \quad t \in \{\text{true}, \text{false}\}$

$$\langle \text{true}, \sigma \rangle \rightarrow \text{true}$$

$$\langle \text{false}, \sigma \rangle \rightarrow \text{false}$$

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 = a_1, \sigma \rangle \rightarrow \text{true}} \quad \text{when } n_0 = n_1$$

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 = a_1, \sigma \rangle \rightarrow \text{false}} \quad \text{when } n_0 \neq n_1$$

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 \leq a_1, \sigma \rangle \rightarrow \text{true}} \quad \text{when } n_0 \leq n_1$$

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 \leq a_1, \sigma \rangle \rightarrow \text{false}} \quad \text{when } n_0 \not\leq n_1$$



$$\frac{\langle b, \sigma \rangle \rightarrow t}{\langle \neg b, \sigma \rangle \rightarrow \neg t}$$

$$\frac{\langle b_0, \sigma \rangle \rightarrow t_0 \quad \langle b_1, \sigma \rangle \rightarrow t_1}{\langle b_0 \wedge b_1, \sigma \rangle \rightarrow t_0 \wedge t_1}$$

$$\frac{\langle b_0, \sigma \rangle \rightarrow t_0 \quad \langle b_1, \sigma \rangle \rightarrow t_1}{\langle b_0 \vee b_1, \sigma \rangle \rightarrow t_0 \vee t_1}$$

$$b_0 \sim b_1 \text{ iff } (\forall t \in \{false, true\} \ \forall \sigma \in \Sigma . \langle b_0, \sigma \rangle \rightarrow t \Leftrightarrow \langle b_1, \sigma \rangle \rightarrow t)$$



left-first-sequential evaluation:

$$\frac{\langle b_0, \sigma \rangle \rightarrow false}{\langle b_0 \wedge b_1, \sigma \rangle \rightarrow false}$$

$$\frac{\langle b_0, \sigma \rangle \rightarrow true \quad \langle b_1, \sigma \rangle \rightarrow false}{\langle b_0 \wedge b_1, \sigma \rangle \rightarrow false}$$

$$\frac{\langle b_0, \sigma \rangle \rightarrow true \quad \langle b_1, \sigma \rangle \rightarrow true}{\langle b_0 \wedge b_1, \sigma \rangle \rightarrow true}$$



# execution of commands

command execution  
relation  $\rightarrow$   $\langle c, \sigma \rangle \rightarrow \sigma'$

we assume the existence of an *initial state*  $\sigma_0$  such that

$$(\forall X \in \mathbf{Loc}. \sigma_0(X) = 0)$$

$\langle c, \sigma \rangle \rightarrow \sigma'$   the execution of  $c$  in  $\sigma$  terminates in  $\sigma'$



**Notation.** Let  $\sigma$  be a state. Let  $m \in \mathbf{Z}$ . Let  $X \in Loc$ . We write  $\sigma[m/X]$  for “the state obtained from  $\sigma$  by replacing the contents of  $X$  by  $m$ ”, i.e.

$$\sigma[m/X](Y) = \begin{cases} m & \text{if } Y = X \\ \sigma(Y) & \text{otherwise} \end{cases}$$

**Example:** consider  $\sigma$  such that  $\sigma(X) = 2$ ,  $\sigma(Y) = 4$ . Let  $\sigma'$  be  $\sigma[5/X]$ . We have that  $\sigma'(X) = 5$ ,  $\sigma'(Y) = 4$



*Atomic commands:*

$$\langle \text{skip}, \sigma \rangle \rightarrow \sigma$$

$$\frac{\langle a, \sigma \rangle \rightarrow m}{\langle X := a, \sigma \rangle \rightarrow \sigma[m/X]}$$

*Sequencing:*

$$\frac{\langle c_0, \sigma \rangle \rightarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \rightarrow \sigma'}{\langle c_0 ; c_1, \sigma \rangle \rightarrow \sigma'}$$



*Conditionals:*

$$\frac{\langle b, \sigma \rangle \rightarrow true \quad \langle c_0, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \sigma'}$$

$$\frac{\langle b, \sigma \rangle \rightarrow false \quad \langle c_1, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \sigma'}$$



*While-loops:*

$$\frac{\langle b, \sigma \rangle \rightarrow false}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma}$$

$$\frac{\langle b, \sigma \rangle \rightarrow true \quad \langle c, \sigma \rangle \rightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \rightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \sigma'}$$



## **equivalence of commands**

$$c_0 \sim c_1 \text{ iff } (\forall \sigma, \sigma' \in \Sigma. \langle c_0, \sigma \rangle \rightarrow \sigma' \Leftrightarrow \langle c_1, \sigma \rangle \rightarrow \sigma')$$



## Proposition

$w \equiv \text{while } b \text{ do } c$

$w' \equiv \text{if } b \text{ then } c ; w \text{ else skip}$



## Proof:

we want to show that:

$$(\forall \sigma, \sigma' \in \Sigma. \langle w, \sigma \rangle \rightarrow \sigma' \Leftrightarrow \langle w', \sigma \rangle \rightarrow \sigma')$$

Let  $\sigma, \sigma'$  be arbitrary elements in  $\Sigma$



$$\langle w, \sigma \rangle \rightarrow \sigma' \Rightarrow \langle w', \sigma \rangle \rightarrow \sigma$$

consider the derivation tree of  $\langle w, \sigma \rangle \rightarrow \sigma'$

$$\begin{array}{ccc}
 & \text{last rule} & \\
 \text{(i)} \swarrow & \mathbf{or} & \searrow \text{(ii)} \\
 \frac{\langle b, \sigma \rangle \rightarrow false}{\langle w, \sigma \rangle \rightarrow \sigma} & & \frac{\langle b, \sigma \rangle \rightarrow true \quad \langle c, \sigma \rangle \rightarrow \sigma'' \quad \langle w, \sigma'' \rangle \rightarrow \sigma'}{\langle w, \sigma \rangle \rightarrow \sigma'}
 \end{array}$$

case (i)

$$\frac{\frac{\vdots \pi}{\langle b, \sigma \rangle \rightarrow false}}{\langle w, \sigma \rangle \rightarrow \sigma}$$

by using  $\pi$  we obtain

$$\frac{\frac{\vdots \pi}{\langle b, \sigma \rangle \rightarrow false} \quad \langle skip, \sigma \rangle \rightarrow \sigma}{\langle \text{if } b \text{ then } c ; w \text{ else skip}, \sigma \rangle \rightarrow \sigma}$$

$w'$  ←



case (ii)

$$\frac{\dot{\vdots} \pi_1}{\langle b, \sigma \rangle \rightarrow true} \quad \frac{\dot{\vdots} \pi_2}{\langle c, \sigma \rangle \rightarrow \sigma''} \quad \frac{\dot{\vdots} \pi_3}{\langle w, \sigma'' \rangle \rightarrow \sigma'}$$

by using  $\pi_2, \pi_3$  we obtain

$$\frac{\frac{\dot{\vdots} \pi_2}{\langle c, \sigma \rangle \rightarrow \sigma''} \quad \frac{\dot{\vdots} \pi_3}{\langle w, \sigma'' \rangle \rightarrow \sigma'}}{\langle c ; w, \sigma \rangle \rightarrow \sigma'}$$

and therefore

$$\frac{\frac{\dot{\vdots} \pi_1}{\langle b, \sigma \rangle \rightarrow true} \quad \frac{\frac{\dot{\vdots} \pi_2}{\langle c, \sigma \rangle \rightarrow \sigma''} \quad \frac{\dot{\vdots} \pi_3}{\langle w, \sigma'' \rangle \rightarrow \sigma'}}{\langle c ; w, \sigma \rangle \rightarrow \sigma'}}{\langle \text{if } b \text{ then } c ; w \text{ else skip}, \sigma \rangle \rightarrow \sigma'}$$

$w' \swarrow$



$$\langle w', \sigma \rangle \rightarrow \sigma' \Rightarrow \langle w, \sigma \rangle \rightarrow \sigma'$$

consider the derivation tree of  $\langle w', \sigma \rangle \rightarrow \sigma'$

the last rule is either

$$(i-b) \quad \frac{\langle b, \sigma \rangle \rightarrow true \quad \langle c ; w, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } b \text{ then } c ; w \text{ else skip}, \sigma \rangle \rightarrow \sigma'}$$

or

$$(ii-b) \quad \frac{\langle b, \sigma \rangle \rightarrow false \quad \langle \text{skip}, \sigma \rangle \rightarrow \sigma}{\langle \text{if } b \text{ then } c ; w \text{ else skip}, \sigma \rangle \rightarrow \sigma}$$



case (i-b)

the derivation is

$$\frac{\frac{\frac{\vdots \pi}{\vdots}}{\langle b, \sigma \rangle \rightarrow true} \quad \frac{\frac{\vdots \pi'}{\vdots}}{\langle c ; w, \sigma \rangle \rightarrow \sigma'}}{\langle \text{if } b \text{ then } c ; w \text{ else skip}, \sigma \rangle \rightarrow \sigma'}$$

where  $\pi'$  is

$$\frac{\frac{\frac{\vdots \alpha}{\vdots}}{\langle c, \sigma \rangle \rightarrow \sigma''} \quad \frac{\frac{\vdots \beta}{\vdots}}{\langle w, \sigma'' \rangle \rightarrow \sigma'}}{\langle c ; w, \sigma \rangle \rightarrow \sigma'}$$

we conclude with

$$\frac{\frac{\frac{\vdots \pi}{\vdots}}{\langle b, \sigma \rangle \rightarrow true} \quad \frac{\frac{\frac{\vdots \alpha}{\vdots}}{\langle c, \sigma \rangle \rightarrow \sigma''} \quad \frac{\frac{\vdots \beta}{\vdots}}{\langle w, \sigma'' \rangle \rightarrow \sigma'}}{\langle w, \sigma \rangle \rightarrow \sigma'}$$



case (ii-b)

the derivation is

$$\frac{\frac{\vdots \pi}{\langle b, \sigma \rangle \rightarrow false} \quad \overline{\langle skip, \sigma \rangle \rightarrow \sigma}}{\langle \text{if } b \text{ then } c ; w \text{ else skip}, \sigma \rangle \rightarrow \sigma}$$

we conclude with

$$\frac{\frac{\vdots \pi}{\langle b, \sigma \rangle \rightarrow false}}{\langle w, \sigma \rangle \rightarrow \sigma}$$

***QED***



$w \equiv \text{while } 0 < x \text{ do } (y := 2 * y; x := x - 1)$

- (a) Let  $\sigma = \sigma[x \mapsto 2, y \mapsto 3]$ . Find  $\sigma_*$  such that  $\langle w, \sigma \rangle \rightarrow \sigma_*$  can be derived. Give complete derivation tree.
- (b) Prove that if  $\sigma(x) = a \geq 0$ ,  $\sigma(y) = b$  and  $\langle w, \sigma \rangle \rightarrow \sigma_*$  then  $\sigma_*(y) = 2^a \cdot b$ .



Let  $\sigma_* = \sigma[y \mapsto 12, x \mapsto 0]$ . The derivation of  $\langle w, \sigma \rangle \rightarrow \sigma_*$  looks as follows

$$\begin{array}{c}
 \frac{\langle 0, \sigma \rangle \rightarrow 0 \quad \langle x, \sigma \rangle \rightarrow 2}{\langle 0 < x, \sigma \rangle \rightarrow \text{true}} \quad \frac{\boxed{A}}{\langle (y := 2 * y; x := x - 1), \sigma \rangle \rightarrow \sigma_1} \quad \frac{\boxed{B}}{\langle w, \sigma_1 \rangle \rightarrow \sigma_*} \\
 \hline
 \langle w, \sigma \rangle \rightarrow \sigma_*
 \end{array}$$

where



$$\begin{array}{c}
\frac{\frac{\langle 2, \sigma \rangle \rightarrow 2 \quad \langle y, \sigma \rangle \rightarrow 3}{\langle 2 * y, \sigma \rangle \rightarrow 6}}{\langle y := 2 * y, \sigma \rangle \rightarrow \sigma[y \mapsto 6] = \sigma_2} \quad \frac{\frac{\langle x, \sigma_2 \rangle \rightarrow 2 \quad \langle 1, \sigma_2 \rangle \rightarrow 1}{\langle x - 1, \sigma_2 \rangle \rightarrow 1}}{\langle x := x - 1, \sigma_2 \rangle \rightarrow \sigma_2[x \mapsto 1]} \\
\hline
\boxed{A} \equiv
\end{array}$$

hence  $\sigma_1 = \sigma_2[x \mapsto 1] = \sigma[y \mapsto 6, x \mapsto 1]$

$$\begin{array}{c}
\frac{\frac{\langle 0, \sigma_1 \rangle \rightarrow 0 \quad \langle x, \sigma_1 \rangle \rightarrow 1}{\langle 0 < x, \sigma_1 \rangle \rightarrow \text{true}} \quad \boxed{C} \quad \frac{\frac{\langle 0, \sigma_* \rangle \rightarrow 0 \quad \langle x, \sigma_* \rangle \rightarrow 0}{\langle 0 < x, \sigma_* \rangle \rightarrow \text{false}}}{\langle w, \sigma_* \rangle \rightarrow \sigma_*} \\
\hline
\boxed{B} \equiv
\end{array}$$



$$\begin{array}{c}
\boxed{\text{C}} \\
\hline
\end{array}
\equiv
\frac{
\frac{
\frac{
\langle 2, \sigma_1 \rangle \rightarrow 2 \quad \langle y, \sigma_1 \rangle \rightarrow 6
}{\langle 2 * y, \sigma_1 \rangle \rightarrow 12}
}{\langle y := 2 * y, \sigma_1 \rangle \rightarrow \sigma_1[y \mapsto 12] = \sigma_3}
\quad
\frac{
\frac{
\langle x, \sigma_3 \rangle \rightarrow 1 \quad \langle 1, \sigma_3 \rangle \rightarrow 1
}{\langle x - 1, \sigma_3 \rangle \rightarrow 0}
}{\langle x := x - 1, \sigma_3 \rangle \rightarrow \sigma_3[x \mapsto 0]}
}{\sigma_3[x \mapsto 0] = \sigma_1[y \mapsto 12, x \mapsto 0] = \sigma_*}$$

Observe that  $\sigma_3[x \mapsto 0] = \sigma_1[y \mapsto 12, x \mapsto 0] = \sigma_*$ .



## Big Step VS One Step

the evaluation and execution relations are  
Big Step Relations

what about One Step Relation?

$$\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle$$

a possible rule:

$$\frac{\langle b, \sigma \rangle \rightarrow_1 \langle \mathbf{true}, \sigma \rangle}{\langle \mathbf{if } b \mathbf{ then } c_0 \mathbf{ else } c_1, \sigma \rangle \rightarrow_1 \langle c_0, \sigma \rangle}$$

*fine 10 genn*



$$\langle a, \sigma \rangle \rightarrow n$$

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$$\langle \mathbf{x} := a, \sigma \rangle \rightarrow_1 \langle \mathbf{skip}, \sigma[x \mapsto n] \rangle$$

$$\langle c_0, \sigma \rangle \rightarrow_1 \langle c'_0, \sigma' \rangle$$

---


$$\langle c_0; c_1, \sigma \rangle \rightarrow_1 \langle c'_0; c_1, \sigma' \rangle$$

$$\langle \mathbf{skip}; c_1, \sigma \rangle \rightarrow_1 \langle c_1, \sigma \rangle$$



$$\frac{\langle b, \sigma \rangle \rightarrow \text{true}}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow_1 \langle c_0, \sigma \rangle}$$

$$\frac{\langle b, \sigma \rangle \rightarrow \text{false}}{\langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow_1 \langle c_1, \sigma \rangle}$$

$$\frac{\langle b, \sigma \rangle \rightarrow \text{true}}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow_1 \langle c; \text{while } b \text{ do } c, \sigma \rangle}$$

$$\frac{\langle b, \sigma \rangle \rightarrow \text{false}}{\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow_1 \langle \text{skip}, \sigma \rangle}$$



We execute program  $p \equiv x := 7; y := 4; w$ , where

```
 $w \equiv$  while not( $x = y$ ) do  
    if  $x < y$  then  $y := y - 1$   
    else  $x := x - y$ 
```

We denote body of the loop by  $c$ .



$\langle$	$x := 7; y := 4; w, \sigma \rangle$
$\rightarrow_1 \langle$	$\text{skip}; y := 4; w, \sigma[x \mapsto 7] \rangle$
$\rightarrow_1 \langle$	$y := 4; w, \sigma[x \mapsto 7] \rangle$
$\rightarrow_1 \langle$	$\text{skip}; w, \sigma[x \mapsto 7, y \mapsto 4] \rangle$
$\rightarrow_1 \langle$	$\text{while not}(x = y) \text{ do } c, \sigma[x \mapsto 7, y \mapsto 4] \rangle$
$\rightarrow_1 \langle$	$\text{if } x < y \text{ then } y := y - 1 \text{ else } x := x - y; w, \sigma[x \mapsto 7, y \mapsto 4] \rangle$
$\rightarrow_1 \langle$	$x := x - y; w, \sigma[x \mapsto 7, y \mapsto 4] \rangle$



$\rightarrow_1 \langle$  skip;  $w$  ,  $\sigma[x \mapsto 3, y \mapsto 4] \rangle$   
 $\rightarrow_1 \langle$  while not( $x = y$ ) do  $c$  ,  $\sigma[x \mapsto 3, y \mapsto 4] \rangle$   
 $\rightarrow_1 \langle$  if  $x < y$  then  $y := y - 1$  else  $x := x - y$ ;  $w$  ,  $\sigma[x \mapsto 3, y \mapsto 4] \rangle$   
 $\rightarrow_1 \langle$   $y := y - 1$ ;  $w$  ,  $\sigma[x \mapsto 3, y \mapsto 4] \rangle$   
 $\rightarrow_1 \langle$  skip;  $w$  ,  $\sigma[x \mapsto 3, y \mapsto 3] \rangle$   
 $\rightarrow_1 \langle$  while not( $x = y$ ) do  $c$  ,  $\sigma[x \mapsto 3, y \mapsto 3] \rangle$   
 $\rightarrow_1 \langle$  skip ,  $\sigma[x \mapsto 3, y \mapsto 3] \rangle$



**Thm 1** If  $\langle c, \sigma \rangle \rightarrow_1^* \langle \text{skip}, \sigma_* \rangle$  then  $\langle c, \sigma \rangle \rightarrow \sigma_*$ .

**Thm 2** If  $\langle c, \sigma \rangle \rightarrow \sigma_*$  then  $\langle c, \sigma \rangle \rightarrow_1^* \langle \text{skip}, \sigma_* \rangle$ .



PROOF (of Theorem 1) We assume that  $\langle c, \sigma \rangle \rightarrow_1^k \langle \text{skip}, \sigma_* \rangle$  for some  $k$ . The proof will go by induction on  $k$ .

From our assumption

$$\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle \text{ and } \langle c', \sigma' \rangle \rightarrow_1^{k-1} \langle \text{skip}, \sigma_* \rangle$$

We may use our IH (for  $k - 1$ ) to infer that  $\langle c', \sigma' \rangle \rightarrow \sigma_*$ .

From  $\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle$  and  $\langle c', \sigma' \rangle \rightarrow \sigma_*$  it follows that  $\langle c, \sigma \rangle \rightarrow \sigma_*$  (using the lemma).

For the base case  $k = 1$  our assumption is

$\langle c, \sigma \rangle \rightarrow_1 \langle \text{skip}, \sigma_* \rangle$ . Clearly  $\langle \text{skip}, \sigma_* \rangle \rightarrow \sigma_*$ . We can use the lemma again to infer that  $\langle c, \sigma \rangle \rightarrow \sigma_*$ .



## Lemma

If  $\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle$  and  $\langle c', \sigma' \rangle \rightarrow \sigma''$  then  $\langle c, \sigma \rangle \rightarrow \sigma''$ .

PROOF (*By induction over structure of command  $c$* ) There are 7 possible cases depending on which rule was used at the bottom of the derivation tree of  $\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle$ .



**Case (i)**  $c \equiv x := a$  and the rule used was

$$\frac{\langle a, \sigma \rangle \rightarrow n}{\langle x := a, \sigma \rangle \rightarrow_1 \langle \text{skip}, \sigma[x \mapsto n] \rangle}$$

Hence  $c' \equiv \text{skip}$ ,  $\sigma' = \sigma[x \mapsto n]$  and we must have a derivation  $\boxed{A}$  for  $\langle a, \sigma \rangle \rightarrow n$ . We assume that  $\langle c', \sigma' \rangle \rightarrow \sigma''$  can be derived and this is possible only when  $\sigma'' = \sigma' = \sigma[x \mapsto n]$ . Therefore we can derive  $\langle c, \sigma \rangle \rightarrow \sigma''$  as follows

$$\frac{\frac{\boxed{A}}{\langle a, \sigma \rangle \rightarrow n}}{\langle x := a, \sigma \rangle \rightarrow \sigma[x \mapsto n]}$$



**Case (ii)**  $c \equiv c_0; c_1$  and the rule used was

$$\frac{\langle c_0, \sigma \rangle \rightarrow_1 \langle c'_0, \sigma' \rangle}{\langle c_0; c_1, \sigma \rangle \rightarrow_1 \langle c'_0; c_1, \sigma' \rangle}$$

Hence  $c' \equiv c'_0; c_1$  and  $\langle c_0, \sigma \rangle \rightarrow_1 \langle c'_0, \sigma' \rangle$  is derivable. We assume that  $\langle c', \sigma' \rangle \rightarrow \sigma''$  and the only possible derivation of that transition must look as follows:

$$\frac{\frac{\boxed{A}}{\langle c'_0, \sigma' \rangle \rightarrow \sigma_1} \quad \frac{\boxed{B}}{\langle c_1, \sigma_1 \rangle \rightarrow \sigma''}}{\langle c'_0; c_1, \sigma' \rangle \rightarrow \sigma''}$$



Hence  $\langle c'_0, \sigma' \rangle \rightarrow \sigma_1$  is derivable. We know also that  $\langle c_0, \sigma \rangle \rightarrow_1 \langle c'_0, \sigma' \rangle$  is derivable and since  $c_0$  is simpler than  $c$  we can use IH to infer that there exists a derivatin  $\boxed{\text{C}}$  of  $\langle c_0, \sigma \rangle \rightarrow \sigma_1$ . Therefore we can derive  $\langle c, \sigma \rangle \rightarrow \sigma''$  as follows:

$$\begin{array}{c}
 \boxed{\text{C}} \qquad \qquad \boxed{\text{B}} \\
 \hline
 \langle c_0, \sigma \rangle \rightarrow \sigma_1 \qquad \langle c_1, \sigma_1 \rangle \rightarrow \sigma'' \\
 \hline
 \langle c_0; c_1, \sigma \rangle \rightarrow \sigma''
 \end{array}$$



**Case (iii)**  $c \equiv \text{while } b \text{ do } d$  and the rule used was

$$\langle b, \sigma \rangle \rightarrow \text{true}$$

---

$$\langle \text{while } b \text{ do } d, \sigma \rangle \rightarrow_1 \langle d; \text{while } b \text{ do } d, \sigma \rangle$$

Hence  $c' \equiv d; c$ ,  $\sigma' = \sigma$  and we must have a derivation  $\boxed{\text{A}}$  for  $\langle b, \sigma \rangle \rightarrow \text{true}$ . The only possible way of deriving  $\langle c', \sigma' \rangle \rightarrow \sigma''$  is

$$\frac{\frac{\boxed{\text{B}}}{\langle d, \sigma \rangle \rightarrow \sigma_1} \quad \frac{\boxed{\text{C}}}{\langle c, \sigma_1 \rangle \rightarrow \sigma''}}{\langle d; c, \sigma \rangle \rightarrow \sigma''}$$



Hence we can use derivations  $\boxed{A}$ ,  $\boxed{B}$  and  $\boxed{C}$  to derive  $\langle c, \sigma \rangle \rightarrow \sigma''$  as follows:

$$\begin{array}{c}
 \boxed{A} \qquad \boxed{B} \qquad \boxed{C} \\
 \hline
 \langle b, \sigma \rangle \rightarrow \text{true} \quad \langle d, \sigma \rangle \rightarrow \sigma_1 \quad \langle c, \sigma_1 \rangle \rightarrow \sigma'' \\
 \hline
 \langle c, \sigma \rangle \rightarrow \sigma''
 \end{array}$$



## Proof Structure /Case (ii)/

1.  $\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle$  derivable *(assumption)*
2.  $\langle c', \sigma' \rangle \rightarrow \sigma''$  derivable *(assumption)*
3.  $c \equiv c_0; c_1$  and the only applicable rule was used to derive (1) *(case assumption)*
4.  $c' \equiv c'_0; c_1$  and  $\langle c_0, \sigma \rangle \rightarrow_1 \langle c'_0, \sigma' \rangle$  is derivable *(from 3)*
5.  $\langle c'_0, \sigma' \rangle \rightarrow \sigma_1$  can be derived and
6.  $\langle c_1, \sigma_1 \rangle \rightarrow \sigma''$  can be derived *(from 2 and 4)*
7.  $\langle c_0, \sigma \rangle \rightarrow \sigma_1$  can be derived *(from 4,5 and IH)*
8.  $\langle c, \sigma \rangle \rightarrow \sigma''$  can be derived *(from 7 and 6, QED)*



## Proof Structure /Case (iii)/

1.  $\langle c, \sigma \rangle \rightarrow_1 \langle c', \sigma' \rangle$  derivable *(assumption)*
2.  $\langle c', \sigma' \rangle \rightarrow \sigma''$  derivable *(assumption)*
3.  $c \equiv (\text{while } b \text{ do } d)$  and the first applicable rule was used to derive (1) *(case assumption)*
4.  $c' \equiv (d; c)$ ,  $\sigma' = \sigma$  and
5.  $\langle b, \sigma \rangle \rightarrow \text{true}$  is derivable *(from 3)*
6.  $\langle d, \sigma \rangle \rightarrow \sigma_1$  and  $\langle c, \sigma_1 \rangle \rightarrow \sigma''$  are derivable *(from 2 and 4)*
7.  $\langle c, \sigma \rangle \rightarrow \sigma''$  can be derived *(from 5 and 6, QED)*



# Semantica



*induction*



# Induction

- how to prove that  $\sum_{i=0}^n i = \frac{1}{2}n(n+1)$ ,  $\forall n \geq 0$  ?

an answer: by induction!



*In order to prove that  $\forall n \in \omega. P(n)$*

○ basis: prove that  $P(0)$

○ induction step: prove that

$$\forall m \in \omega. P(m) \Rightarrow P(m + 1)$$

***The Principle of Induction (IND)***

$$(P(0) \& (\forall m \in \omega. P(m) \Rightarrow P(m + 1))) \Rightarrow \forall n \in \omega. P(n)$$



***Course-of-values induction (C-IND)***

$$(\forall m \in \omega. [(\forall k < m. Q(k)) \Rightarrow Q(m)]) \Rightarrow \forall n \in \omega. Q(n)$$

*IND è equivalente a C-IND*



**IND  $\Rightarrow$  C-IND**

Let  $T(u)$  be  $\forall x < u. Q(x)$

Let us suppose that

$\forall m \in \omega. (\forall k < m. Q(k)) \Rightarrow Q(m)$

we want to show that:

$\forall v \in \omega. Q(v)$

Observe that  $\forall m. T(m) \Rightarrow T(m+1)$

and  $T(0)$  are true.

By means of IND we conclude that

$\forall v \in \omega. T(v)$  is true and therefore  $\forall v \in \omega. Q(v)$



## **C-IND $\Rightarrow$ IND**

Let us suppose that

1)  $P(0)$

and

2)  $(\forall m \in \omega. P(m) \Rightarrow P(m + 1))$

we want to show that

$\forall v \in \omega. P(v)$

Let  $\alpha(m)$  be  $(\forall k < m. P(k)) \Rightarrow P(m)$

We want to show that for each  $m \in \omega$   $\alpha(m)$  is true.

By cases:

- if  $m$  is 0 then  $\alpha(m)$  is equivalent to  $P(0)$ ;
- if  $m$  is  $n + 1$  then if  $(\forall k < n + 1. P(k))$  we have  $P(n)$ ;  
by means of (2) we conclude that  $P(n + 1)$  is true  
and therefore  $\alpha(n + 1)$  is true.

By means of C-IND we conclude.



## *Structural Induction*

*Principle:* The induction is based on the structure of the elements.

First, show that the property holds for all *atomic* elements

Second, show that the *formation rules* to build *non-atomic* elements *preserve* the property

*Example: in order to show that a property  $P$  holds for all the arithmetic expressions it is sufficient to show that”*

$$(\forall m \in \mathbf{N}. P(m)) \wedge$$

$$(\forall X \in \mathbf{Loc}. P(X)) \wedge$$

$$(\forall a_0, a_1 \in \mathbf{Aexp}. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 + a_1)) \wedge$$

$$(\forall a_0, a_1 \in \mathbf{Aexp}. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 - a_1)) \wedge$$

$$(\forall a_0, a_1 \in \mathbf{Aexp}. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 \times a_1))$$



## *Well Founded Relation*

*Well-founded relation.* A well-founded relation is a *binary relation*  $\prec$  on a set  $A$  such that there are no infinite descending chains

$\cdots a_i \prec \cdots \prec a_1 \prec a_0$ . For two elements  $a$  and  $b$  in  $A$ , if  $a \prec b$ , then we say that  $a$  is a *predecessor* of  $b$ .

Hence, a well-founded relation on  $A$  is such that no element of  $A$  has an infinite number of predecessors.

*Note.* A well-founded relation is necessarily *irreflexive*. That is, there is no  $a \in A$  such that  $a \prec a$ .

*Notation.* In the sequel, we shall use  $\preceq$  for the reflexive closure of  $\prec$ . That is, for  $a, b \in A$ ,  $a \preceq b \Leftrightarrow a = b$  or  $a \prec b$  for



## ***Well-Founded Induction (W-IND)***

$$(\forall a \in A. ((\forall b \prec a. P(b))) \Rightarrow P(a)) \rightarrow \forall a \in A. P(a)$$

*Observation.* Note that mathematical induction, course-of-values induction and structural induction are both special cases of well-founded induction

*Proposition.* Let  $\prec$  be a binary relation on a set  $A$ . The relation  $\prec$  is well-founded **if and only if** *any non-empty* subset  $Q$  of  $A$  has a *minimal element*. More formally,

$$(\forall Q \subseteq A. (Q \neq \emptyset \Rightarrow (\exists m \in Q. (\forall b \prec m. b \notin Q))))$$



## *Induction on derivation trees*

○ define the size  $\#D$  of derivation  $D$ :

1. if  $D$  is an axiom  $S$  then  $\#D=0$

2. if  $D$  is

$$\frac{\begin{array}{c} \vdots D_0 \\ S_0 \end{array} \quad \cdots \quad \begin{array}{c} \vdots D_k \\ S_k \end{array}}{S} r$$

then  $\#D = \sup\{\#D_i + 1 \mid i \leq k\}$

*fine 11 gen*



*remember that....subderivations are subtrees!*

*Rule instance.* A rule instance is a pair  $(X/y)$ , where  $X$  (resp.  $y$ ) is a finite set of premises (resp. the conclusion) of the rule instance

*Set of rule instances  $R$ :* set of pairs  $(X/y)$

*Definition.* An  $R$ -derivation of  $y$  is either

$(\emptyset/y)$  or

$(\{d_1, \dots, d_n\}/y)$

where  $(\{x_1, \dots, x_n\}/y)$  is a rule instance and  $d_i$  is an  $R$ -derivation of  $x_i$ ,  
( $1 \leq i \leq n$ )

$d \Vdash_R y$  to mean “ $d$  is an  $R$ -derivation of  $y$ ”



$(\emptyset/y) \Vdash_R y$  if  $(\emptyset/y) \in R$

$(\{d_1, \dots, d_n\}/y) \Vdash_R y$

if  $((\{x_1, \dots, x_n\}/y) \in R) \wedge (\bigwedge_{i=1}^n (d_i \Vdash_R x_i))$



*Immediate subderivation:* We say that  $d'$  is an immediate subderivation of  $d$  and we write  $d' \prec_1 d$  if and only if  $d$  has the form  $(D/y)$  with  $d' \in D$

we denote the *transitive closure* of  $\prec_1$  by  $\prec$

We say that  $d'$  is a *proper subderivation* of  $d$  iff  $d' \prec d$

**Reminder.** *Transitive closure* of a relation  $r$  on a set  $X$  is

$$r^+ = \bigcup_{k \in \omega} r^{k+1}$$

where  $r^0 = Id_X$  is the identity relation on  $X$ , and for

$$k > 0, \quad r^k = \underbrace{r \circ r \circ \dots \circ r}_{k \text{ times}}$$

The *transitive, reflexive closure* of  $r$  is  $r^* = r^+ \cup Id_X$

**Note.**  $\prec_1$  and  $\prec$  are well-founded because derivations are finite



# Semantica

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denotational semantics



# Denotational Semantics of IMP

- mathematical meaning of syntactic objects
- the meaning of syntactic objects is given by suitable functions

**Notation:** We shall use  $\llbracket \cdot \rrbracket$  around an argument of a semantic function

**Vocabulary:** Given  $x$  a syntactic object,  $\mathcal{F}$  a semantic function,  $x$  is said to *denote*  $\mathcal{F}\llbracket x \rrbracket$  and  $\mathcal{F}\llbracket x \rrbracket$  is said to be a *denotation, meaning* of  $x$



the informal idea:

Let  $a \in \mathbf{Aexp}$

$a$  represents a function that maps a state  $\sigma$  to a  $n \in \mathbf{Z}$

Let  $b \in \mathbf{Bexp}$

$b$  represents a function that maps a state  $\sigma$  to a

$t \in \mathbf{T} = \{false, true\}$

Let  $c \in \mathbf{Com}$

represents a function that maps a state  $\sigma$  to a state  $\sigma'$

what about non termination?



$$\mathcal{A} \quad : \quad \mathbf{Aexp} \quad \rightarrow \quad (\Sigma \rightarrow \mathbf{Z})$$

$$\mathcal{A}[\![n]\!]\sigma = n$$

$$\mathcal{A}[\![X]\!]\sigma = \sigma(X)$$

$$\mathcal{A}[\![a_0 + a_1]\!]\sigma = \mathcal{A}[\![a_0]\!]\sigma + \mathcal{A}[\![a_1]\!]\sigma$$

$$\mathcal{A}[\![a_0 - a_1]\!]\sigma = \mathcal{A}[\![a_0]\!]\sigma - \mathcal{A}[\![a_1]\!]\sigma$$

$$\mathcal{A}[\![a_0 \times a_1]\!]\sigma = \mathcal{A}[\![a_0]\!]\sigma \times \mathcal{A}[\![a_1]\!]\sigma$$



give the semantics of:

$$3 + 5$$

$$11 - 3$$

$X+Y$  in state  $\sigma$  such that  $\sigma(X) = 6, \sigma(Y) = 9$

$X \times Y$  in state  $\sigma$  such that  $\sigma(X) = 2, \sigma(Y) = 3$



$$\mathcal{B} \quad : \quad \mathbf{Bexp} \quad \rightarrow \quad (\Sigma \rightarrow \mathbf{T})$$

$$\mathcal{B}[\text{false}]\sigma \quad = \quad \text{false}$$

$$\mathcal{B}[\text{true}]\sigma \quad = \quad \text{true}$$

$$\mathcal{B}[a_0 = a_1]\sigma \quad = \quad \text{true} \quad \text{when} \quad \mathcal{A}[a_0]\sigma \quad = \quad \mathcal{A}[a_1]\sigma$$

$$\mathcal{B}[a_0 = a_1]\sigma \quad = \quad \text{false} \quad \text{when} \quad \mathcal{A}[a_0]\sigma \quad \neq \quad \mathcal{A}[a_1]\sigma$$

$$\mathcal{B}[a_0 \leq a_1]\sigma \quad = \quad \text{true} \quad \text{when} \quad \mathcal{A}[a_0]\sigma \quad \leq \quad \mathcal{A}[a_1]\sigma$$

$$\mathcal{B}[a_0 \leq a_1]\sigma \quad = \quad \text{false} \quad \text{when} \quad \mathcal{A}[a_0]\sigma \quad \not\leq \quad \mathcal{A}[a_1]\sigma$$

$$\mathcal{B}[b_0 \wedge b_1]\sigma \quad = \quad \mathcal{B}[b_0]\sigma \wedge \mathcal{B}[b_1]\sigma$$

$$\mathcal{B}[b_0 \vee b_1]\sigma \quad = \quad \mathcal{B}[b_0]\sigma \vee \mathcal{B}[b_1]\sigma$$

$$\mathcal{B}[\neg b]\sigma \quad = \quad \neg \mathcal{B}[b]\sigma$$



$$\mathcal{C} : \mathbf{Com} \rightarrow (\Sigma \multimap \Sigma)$$



set of partial functions

$$\mathcal{C}[\text{skip}]\sigma = \sigma$$

$$\mathcal{C}[c_0 ; c_1]\sigma = \mathcal{C}[c_1](\mathcal{C}[c_0]\sigma)$$



$$\mathcal{C}[\text{if } b \text{ then } c_0 \text{ else } c_1]\sigma =$$

$$= \begin{cases} \mathcal{C}[c_0]\sigma & \text{if } \mathcal{B}[b]\sigma = \text{true} \\ \mathcal{C}[c_1]\sigma & \text{if } \mathcal{B}[b]\sigma = \text{false} \end{cases}$$

*fine 17*  
*genn*



$w \equiv \text{while } b \text{ do } c$

we know that:

$w \sim \text{if } b \text{ then } c ; w \text{ else skip}$

$$\mathcal{C}[[w]]\sigma = \begin{cases} \mathcal{C}[[c ; w]]\sigma & \text{if } \mathcal{B}[[b]]\sigma = \text{true} \\ \sigma & \text{if } \mathcal{B}[[b]]\sigma = \text{false} \end{cases}$$



using the semantics of composition we have

$$\mathcal{C}[[w]]\sigma = \begin{cases} \mathcal{C}[[w]](\mathcal{C}[[c]]\sigma) & \text{if } \mathcal{B}[[b]]\sigma = \textit{true} \\ \sigma & \text{if } \mathcal{B}[[b]]\sigma = \textit{false} \end{cases}$$

How can we determine  $\mathcal{C}[[w]]$   
(the currently unknown meaning of *while  $b$  do  $c$* ) ?



$$\begin{array}{ccc} \Gamma : (\Sigma \multimap \Sigma) & \rightarrow & (\Sigma \multimap \Sigma) \\ f & \mapsto & \Gamma(f) \end{array}$$

$$\Gamma(f) \quad : \quad \Sigma \multimap \Sigma$$

$$\sigma \quad \mapsto \quad [\Gamma(f)](\sigma) = \begin{cases} f(g(\sigma)) & \text{if } \beta(\sigma) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$

$$g \equiv \mathcal{C} \llbracket c \rrbracket \text{ and } \beta \equiv \mathcal{B} \llbracket b \rrbracket$$



se  $\Gamma(\text{ff}) = \text{ff}$  allora  
ff e' punto fisso  
e ff e' la  
semantica del while



$$\sigma \mapsto f_1(\sigma) = \begin{cases} f_0(g(\sigma)) & \text{if } \beta(\sigma) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$

$$\sigma \mapsto f_2(\sigma) = \begin{cases} f_1(g(\sigma)) & \text{if } \beta(\sigma) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$

$$= \begin{cases} f_0(g^2(\sigma)) & \text{if } \beta(\sigma) \wedge \beta(g(\sigma)) \\ g(\sigma) & \text{if } \beta(\sigma) \wedge \neg\beta(g(\sigma)) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$



$$\sigma \mapsto f_3(\sigma) = \begin{cases} f_2(g(\sigma)) & \text{if } \beta(\sigma) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$

$$= \begin{cases} f_0(g^3(\sigma)) & \text{if } \beta(\sigma) \wedge \beta(g(\sigma)) \wedge \beta(g^2(\sigma)) \\ g^2(\sigma) & \text{if } \beta(\sigma) \wedge \beta(g(\sigma)) \wedge \neg\beta(g^2(\sigma)) \\ g(\sigma) & \text{if } \beta(\sigma) \wedge \neg\beta(g(\sigma)) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$



$$f_{n+1}(\sigma) = \begin{cases} f_0(g^{n+1}(\sigma)) & \text{if } \bigwedge_{i=0}^n \beta(g^i(\sigma)) \\ g^n(\sigma) & \text{if } \bigwedge_{i=0}^{n-1} \beta(g^i(\sigma)) \wedge \neg\beta(g^n(\sigma)) \\ \dots & \\ g(\sigma) & \text{if } \beta(\sigma) \wedge \neg\beta(g(\sigma)) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$



$w \equiv \text{while } b \text{ do } c$

$$\mathcal{C}[[w]] =_{\text{def}} \bigcup_{n \in \omega} f_n = \bigcup_{n \in \omega} \Gamma^n(\emptyset)$$

$$\mathcal{C}[[w]]\sigma = \begin{cases} \mathcal{C}[[w]](\mathcal{C}[[c]]\sigma) & \text{if } \mathcal{B}[[b]]\sigma = \text{true} \\ \sigma & \text{if } \mathcal{B}[[b]]\sigma = \text{false} \end{cases}$$

$$\sigma \mapsto [\Gamma(f)](\sigma) = \begin{cases} f(g(\sigma)) & \text{if } \beta(\sigma) \\ \sigma & \text{if } \neg\beta(\sigma) \end{cases}$$



## Equivalence of Denotational and Operational semantics

**Lemma:** For any  $a \in \mathbf{Aexp}$ ,

$$\mathcal{A}[[a]] = \{(\sigma, n) \mid \langle a, \sigma \rangle \rightarrow n\}$$

**proof:** induction on  $a$

**Lemma:** For any  $b \in \mathbf{Bexp}$ ,

$$\mathcal{B}[[b]] = \{(\sigma, t) \mid \langle b, \sigma \rangle \rightarrow t\}$$

**proof:** induction on  $b$



**Lemma:** For any command  $c$  and states  $\sigma, \sigma'$ , we have

$$\langle c, \sigma \rangle \rightarrow \sigma' \Rightarrow (\sigma, \sigma') \in \mathcal{C} \llbracket c \rrbracket$$

### **Proof**

The proof is by rule-induction on the operational semantics of commands

For  $c \in \mathbf{Com}$ ,  $\sigma, \sigma' \in \Sigma$ , we define

$$P(c, \sigma, \sigma') \equiv (\sigma, \sigma') \in \mathcal{C} \llbracket c \rrbracket$$

we prove that:

$\langle c, \sigma \rangle \rightarrow \sigma' \Rightarrow P(c, \sigma, \sigma')$  for any command  $c$  and states  $\sigma, \sigma'$

we verify only one clause: the case of  $w \equiv \text{while } b \text{ do } c$



$$\langle w, \sigma \rangle \rightarrow \sigma' \Rightarrow P(w, \sigma, \sigma')$$

$$\langle w, \sigma \rangle \rightarrow \sigma'$$

$\Rightarrow \{ \text{derivation rules for commands} \}$

$$(i) \quad \frac{\begin{array}{c} \vdots \\ \hline \langle b, \sigma \rangle \rightarrow true \end{array} \quad \begin{array}{c} \vdots \\ \hline \langle c, \sigma \rangle \rightarrow \sigma'' \end{array} \quad \begin{array}{c} \vdots \\ \hline \langle w, \sigma'' \rangle \rightarrow \sigma' \end{array}}{\langle w, \sigma \rangle \rightarrow \sigma'}$$

$$\text{or } (ii) \quad \frac{\begin{array}{c} \vdots \\ \hline \langle b, \sigma \rangle \rightarrow false \end{array}}{\langle w, \sigma \rangle \rightarrow \sigma'}$$



case (ii)

$$\langle b, \sigma \rangle \rightarrow false$$

$\Rightarrow \{ \text{Lemma (9)} \}$

$$\mathcal{B}[[b]](\sigma) = false$$

$\Rightarrow \{ \text{definition of } \mathcal{C}[[w]] \text{ and the above line} \}$

$$\mathcal{C}[[w]](\sigma) = \sigma' = \sigma \text{ i.e. } (\sigma, \sigma) \in \mathcal{C}[[w]]$$

$\Rightarrow \{ \text{definition of } P \}$

$$P(w, \sigma, \sigma) \text{ holds}$$



case (i)

$$\langle b, \sigma \rangle \rightarrow true \wedge \langle c, \sigma \rangle \rightarrow \sigma'' \wedge P(c, \sigma, \sigma'') \\ \wedge \langle w, \sigma'' \rangle \rightarrow \sigma' \wedge P(w, \sigma'', \sigma')$$

$\Rightarrow$  { Lemma (9) and the above line }

$$\mathcal{B}[[b]](\sigma) = true$$

$\Rightarrow$  { Definition of  $P$  and the above line }

$$\mathcal{B}[[b]](\sigma) = true \wedge \mathcal{C}[[c]](\sigma) = \sigma'' \wedge \mathcal{C}[[w]](\sigma'') = \sigma'$$

$\Rightarrow$  { Definition of  $\mathcal{C}[[w]]$  when  $\mathcal{B}[[b]] = true$ , the above line }

$$\mathcal{C}[[w]](\sigma) = \mathcal{C}[[c; w]](\sigma) = \mathcal{C}[[w]](\mathcal{C}[[c]](\sigma)) = \mathcal{C}[[w]](\sigma'') = \sigma'$$

$\Rightarrow$  { Definition of  $P$  }

$$P(w, \sigma, \sigma')$$



**Theorem:** For any command  $c$ , we have

$$\mathcal{C} \llbracket c \rrbracket = \{(\sigma, \sigma') \mid \langle c, \sigma \rangle \rightarrow \sigma'\}$$

Equivalently,

$$(\sigma, \sigma') \in \mathcal{C} \llbracket c \rrbracket \Leftrightarrow \langle c, \sigma \rangle \rightarrow \sigma'$$

we have proved the “only if” part





proof by induction on  $c$

$c \equiv \text{skip}$

$$(\sigma, \sigma') \in \mathcal{C}[\text{skip}]$$

$\Rightarrow \{ \text{Definition of } \mathcal{C}[\text{skip}] \}$

$$\sigma = \sigma'$$

$\Rightarrow \{ \text{execution rule for skip} \}$

$$\langle \text{skip}, \sigma \rangle \rightarrow \sigma'$$



$$c \equiv X := a$$

$$(\sigma, \sigma') \in \mathcal{C} \llbracket X := a \rrbracket$$

$$\Rightarrow \{ \text{definition of } \mathcal{C} \llbracket X := a \rrbracket \}$$

$$\mathcal{A} \llbracket a \rrbracket (\sigma) = n \wedge \sigma' = \sigma[n/X]$$

$$\Rightarrow$$

$$\langle a, \sigma \rangle \rightarrow n$$

$$\Rightarrow$$

$$\langle c, \sigma \rangle \rightarrow \sigma'$$



$c \equiv \mathbf{while} \ b \ \mathbf{do} \ c_0$

$\mathcal{C}[[c]] = fix(\Gamma) \quad \text{let } g \equiv \mathcal{C}[[c_0]] \text{ and } \beta \equiv \mathcal{B}[[b]]$

$$\Gamma(f)(\sigma) = \begin{cases} f(g(\sigma)) & \text{if } \beta(\sigma) \\ \sigma & \text{otherwise} \end{cases}$$

$$f_0 = \Gamma^0(\emptyset) = \emptyset$$

$$\forall n \in \omega. f_{n+1} = \Gamma(f_n) = \Gamma^{n+1}(\emptyset)$$

$$f_{n+1}(\sigma) = \Gamma(f_n)(\sigma) = \begin{cases} f_n(g(\sigma)) & \text{if } \beta(\sigma) = \mathbf{true} \\ \sigma & \text{otherwise} \end{cases}$$

$$fix(\Gamma) = \bigcup_{n \in \omega} f_n$$



In order to show that

$$fix(\Gamma)(\sigma) = \sigma' \Rightarrow \langle c, \sigma \rangle \rightarrow \sigma'$$

we show by induction that:

$$\forall n. \forall \sigma, \sigma'. f_n(\sigma) = \sigma' \Rightarrow \langle c, \sigma \rangle \rightarrow \sigma'$$

**base**  $n = 0$ : trivial

**induction step** if  $f_{n+1}(\sigma) = \sigma'$  we have two cases:



1.  $\beta(\sigma) = \mathbf{true}$  :

by a previous lemma we have  $\langle b, \sigma \rangle \rightarrow \mathbf{true}$  and

by definition of  $f_i$ 's,  $f_n(g(\sigma)) = \sigma'$

by induction hypothesis  $\langle c, g(\sigma) \rangle \rightarrow \sigma'$

let  $\mathcal{C}[[c_0]](\sigma) = \sigma''$

by structural ind-hyp  $\langle c_0, \sigma \rangle \rightarrow \sigma''$

summarizing we have:

$$\langle b, \sigma \rangle \rightarrow \mathbf{true}, \langle c, \sigma'' \rangle \rightarrow \sigma', \langle c_0, \sigma \rangle \rightarrow \sigma''$$

and by means of the rule of **while**  $\langle c, \sigma \rangle \rightarrow \sigma'$

2.  $\beta(\sigma) = \mathbf{false}$  :

by a previous lemma we have  $\langle b, \sigma \rangle \rightarrow \mathbf{false}$  and

by definition of  $f_i$ 's,  $\sigma' = \sigma$

and by means of the rule of **while**  $\langle c, \sigma \rangle \rightarrow \sigma'$